

On approximation of real, complex, and  $p$ -adic  
numbers by algebraic numbers of bounded  
degree

BY

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## I. On approximation by rational numbers

**THEOREM 1** (Dirichlet, 1842). For any real irrational number  $\xi$  there exist infinitely many rational numbers  $p/q$  such that

$$\left| \xi - \frac{p}{q} \right| < \frac{1}{q^2}.$$

Example: Let  $\xi = e$ . Consider the continued fraction expansion:

$$e = 2 + \frac{1}{1 + \frac{1}{2 + \frac{1}{1 + \frac{1}{1 + \frac{1}{4 + \dots}}}}}}$$

We have

$$2 + \frac{1}{1} = 3 \qquad 2 + \frac{1}{1 + \frac{1}{2}} = \frac{8}{3} \qquad 2 + \frac{1}{1 + \frac{1}{2 + \frac{1}{1}}} = \frac{11}{4}$$

$$2 + \frac{1}{1 + \frac{1}{2 + \frac{1}{1 + \frac{1}{1}}}} = \frac{19}{7} \qquad 2 + \frac{1}{1 + \frac{1}{2 + \frac{1}{1 + \frac{1}{1 + \frac{1}{4}}}}} = \frac{87}{32}$$

$$\delta_0 = 2 \quad |e - 2| < 1$$

$$\delta_1 = 3 \quad |e - 3| < 1$$

$$\delta_2 = \frac{8}{3} \quad \left| e - \frac{8}{3} \right| < \frac{1}{3^2}$$

$$\delta_3 = \frac{11}{4} \quad \left| e - \frac{11}{4} \right| < \frac{1}{4^2}$$

$$\delta_4 = \frac{19}{7} \quad \left| e - \frac{19}{7} \right| < \frac{1}{7^2}$$

$$\delta_5 = \frac{87}{32} \quad \left| e - \frac{87}{32} \right| < \frac{1}{32^2}$$

$$\delta_6 = \frac{106}{39} \quad \left| e - \frac{106}{39} \right| < \frac{1}{39^2}$$

$$\delta_7 = \frac{193}{71} \quad \left| e - \frac{193}{71} \right| < \frac{1}{71^2}$$

$$\delta_8 = \frac{1264}{465} \quad \left| e - \frac{1264}{465} \right| < \frac{1}{465^2}$$

$$\delta_9 = \frac{1457}{536} \quad \left| e - \frac{1457}{536} \right| < \frac{1}{536^2}$$

$$\delta_{10} = \frac{2721}{1001} \quad \left| e - \frac{2721}{1001} \right| < \frac{1}{1001^2}$$

$$\begin{array}{l}
\delta_0 = 2 \qquad |e - 2| < 1 \\
\delta_1 = \mathbf{3} \qquad |e - \mathbf{3}| < \frac{1}{\mathbf{2} \cdot \mathbf{1}^2} \\
\delta_2 = \frac{\mathbf{8}}{\mathbf{3}} \qquad \left| e - \frac{\mathbf{8}}{\mathbf{3}} \right| < \frac{1}{\mathbf{2} \cdot \mathbf{3}^2} \\
\delta_3 = \frac{11}{4} \qquad \left| e - \frac{11}{4} \right| < \frac{1}{4^2} \\
\delta_4 = \frac{\mathbf{19}}{\mathbf{7}} \qquad \left| e - \frac{\mathbf{19}}{\mathbf{7}} \right| < \frac{1}{\mathbf{2} \cdot \mathbf{7}^2} \\
\delta_5 = \frac{\mathbf{87}}{\mathbf{32}} \qquad \left| e - \frac{\mathbf{87}}{\mathbf{32}} \right| < \frac{1}{\mathbf{2} \cdot \mathbf{32}^2} \\
\delta_6 = \frac{106}{39} \qquad \left| e - \frac{106}{39} \right| < \frac{1}{39^2} \\
\delta_7 = \frac{\mathbf{193}}{\mathbf{71}} \qquad \left| e - \frac{\mathbf{193}}{\mathbf{71}} \right| < \frac{1}{\mathbf{2} \cdot \mathbf{71}^2} \\
\delta_8 = \frac{\mathbf{1264}}{\mathbf{465}} \qquad \left| e - \frac{\mathbf{1264}}{\mathbf{465}} \right| < \frac{1}{\mathbf{2} \cdot \mathbf{465}^2} \\
\delta_9 = \frac{1457}{536} \qquad \left| e - \frac{1457}{536} \right| < \frac{1}{536^2} \\
\delta_{10} = \frac{\mathbf{2721}}{\mathbf{1001}} \qquad \left| e - \frac{\mathbf{2721}}{\mathbf{1001}} \right| < \frac{1}{\mathbf{2} \cdot \mathbf{1001}^2}
\end{array}$$

**THEOREM 2.** For any real irrational number  $\xi$  there exist infinitely many rational numbers  $p/q$  such that

$$\left| \xi - \frac{p}{q} \right| < \frac{1}{2q^2}.$$

$$\delta_0 = 2 \qquad |e - 2| < 1$$

$$\delta_1 = \mathbf{3} \qquad |e - \mathbf{3}| < \frac{1}{\sqrt{5} \cdot 1^2}$$

$$\delta_2 = \frac{\mathbf{8}}{\mathbf{3}} \qquad \left| e - \frac{\mathbf{8}}{\mathbf{3}} \right| < \frac{1}{\mathbf{2} \cdot \mathbf{3}^2}$$

$$\delta_3 = \frac{11}{4} \qquad \left| e - \frac{11}{4} \right| < \frac{1}{4^2}$$

$$\delta_4 = \frac{\mathbf{19}}{\mathbf{7}} \qquad \left| e - \frac{\mathbf{19}}{\mathbf{7}} \right| < \frac{1}{\sqrt{5} \cdot \mathbf{7}^2}$$

$$\delta_5 = \frac{\mathbf{87}}{\mathbf{32}} \qquad \left| e - \frac{\mathbf{87}}{\mathbf{32}} \right| < \frac{1}{\mathbf{2} \cdot \mathbf{32}^2}$$

$$\delta_6 = \frac{106}{39} \qquad \left| e - \frac{106}{39} \right| < \frac{1}{39^2}$$

$$\delta_7 = \frac{\mathbf{193}}{\mathbf{71}} \qquad \left| e - \frac{\mathbf{193}}{\mathbf{71}} \right| < \frac{1}{\sqrt{5} \cdot \mathbf{71}^2}$$

$$\delta_8 = \frac{\mathbf{1264}}{\mathbf{465}} \qquad \left| e - \frac{\mathbf{1264}}{\mathbf{465}} \right| < \frac{1}{\mathbf{2} \cdot \mathbf{465}^2}$$

$$\delta_9 = \frac{1457}{536} \qquad \left| e - \frac{1457}{536} \right| < \frac{1}{536^2}$$

$$\delta_{10} = \frac{\mathbf{2721}}{\mathbf{1001}} \qquad \left| e - \frac{\mathbf{2721}}{\mathbf{1001}} \right| < \frac{1}{\sqrt{5} \cdot \mathbf{1001}^2}$$

**THEOREM 3** (Hurwitz). For any real irrational number  $\xi$  there exist infinitely many rational numbers  $p/q$  such that

$$\left| \xi - \frac{p}{q} \right| < \frac{1}{\sqrt{5}q^2}.$$

This result is the best possible.

Example:

$$\xi = \frac{1 + \sqrt{5}}{2} = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \dots}}}}}}$$

## II. Polynomial Interpretation

For any real irrational number  $\xi$  there exist infinitely many rational numbers  $p/q$  such that

$$\begin{aligned} \left| \xi - \frac{p}{q} \right| &< \frac{1}{q^2}. \\ \Downarrow \\ |q\xi - p| &< q^{-1}. \end{aligned}$$

**THEOREM 4.** For any real irrational number  $\xi$  there exist infinitely many polynomials  $P \in \mathbb{Z}[x]$  of the first degree such that

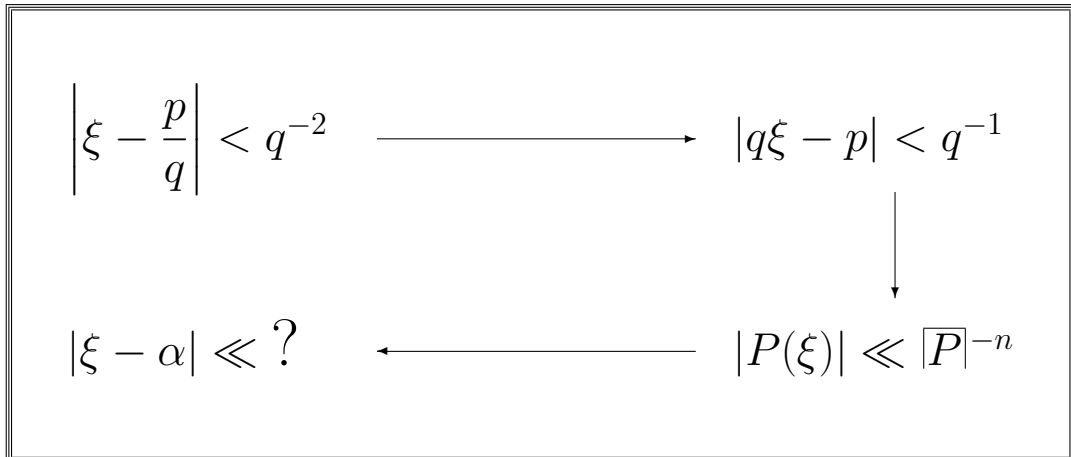
$$|P(\xi)| \ll \overline{P}^{-1},$$

where  $\overline{P}$  denotes the height of the polynomial  $P$ , that is the maximum of absolute values of its coefficients,  $\ll$  is the Vinogradov symbol.

**THEOREM 5.** For any real number  $\xi \notin \mathbb{A}_n$  there exist infinitely many polynomials  $P \in \mathbb{Z}[x]$  of degree  $\leq n$  such that

$$|P(\xi)| \ll \overline{P}^{-n},$$

where  $\mathbb{A}_n$  is the set of real algebraic numbers of degree  $\leq n$ .



### III. Conjecture of Wirsing

CONJECTURE (WIRSING, 1961). For any real number  $\xi \notin \mathbb{A}_n$ , there exist infinitely many algebraic numbers  $\alpha \in \mathbb{A}_n$  with

$$|\xi - \alpha| \ll H(\alpha)^{-n-1+\epsilon}, \quad \epsilon > 0,$$

where  $H(\alpha)$  is the height of  $\alpha$ .

Further W. M. Schmidt conjectured that the exponent

$$-n - 1 + \epsilon$$

can be replaced even by

$$-n - 1.$$

$\left  \xi - \frac{p}{q} \right  < q^{-2}$	$\longrightarrow$	$ q\xi - p  < q^{-1}$
		$\downarrow$
$ \xi - \alpha  \ll H(\alpha)^{-n-1}$	$\longleftarrow$	$ P(\xi)  \ll \overline{P}^{-n}$

At the moment this Conjecture is proved only for

$$n = 1 \quad \Rightarrow \quad |\xi - \alpha| \ll H(\alpha)^{-2} \quad (\text{Dirichlet, 1842})$$

$$n = 2 \quad \Rightarrow \quad |\xi - \alpha| \ll H(\alpha)^{-3} \quad (\text{Davenport - Schmidt, 1967})$$

$$n > 2 \quad \Rightarrow \quad ???$$



Consider the polynomial

$$P(x) = a_n x^n + \dots + a_1 x + a_0 = a_n (x - \alpha_1) \cdot \dots \cdot (x - \alpha_n).$$

Without loss of generality we can assume that  $\alpha_1$  is the root of  $P(x)$  closest to  $\xi$ . We have

$$|\xi - \alpha_1| \ll \frac{|P(\xi)|}{|P'(\xi)|}.$$

By Theorem 3 there are infinitely many polynomials  $P \in \mathbb{Z}[x]$  of degree  $\leq n$  such that

$$|P(\xi)| \ll |P|^{-n}.$$

Let  $n = 1$ . Then

$$|P'(\xi)| = |a_1| \asymp |P| \quad \Rightarrow \quad |\xi - \alpha_1| \ll \frac{|P|^{-1}}{|P|} = |P|^{-2}$$

Let  $n = 2$ . Then for some  $\delta \leq 1$

$$|P'(\xi)| = |2a_2\xi + a_1| \asymp |P|^\delta \quad \Rightarrow \quad |\xi - \alpha_1| \ll \frac{|P|^{-2}}{|P|^\delta} = |P|^{-2-\delta} ???$$

QUESTION: Can one prove that the following is impossible:

All polynomials with  $|P(\xi)| \ll |P|^{-n}$  have  
a “small” derivative  $|P'(\xi)| \asymp |P|^\delta$ ,  $\delta < 1$ .

ANSWER: Yes, for  $n=1$  (Dirichlet, 1842)

$n=2$  (Davenport – Schmidt, 1967)

## IV. Theorem of Wirsing

**THEOREM 6** (Wirsing, 1961). For any real number  $\xi \notin \mathbb{A}_n$  there exist infinitely many algebraic numbers  $\alpha \in \mathbb{A}_n$  with

$$|\xi - \alpha| \ll H(\alpha)^{-\frac{n}{2} - \lambda_n}, \quad \lim_{n \rightarrow \infty} \lambda_n = 2.$$

By Dirichlet's Box principle there are infinitely polynomials

$$P(x) = a_n(x - \alpha_1) \cdot \dots \cdot (x - \alpha_n)$$

such that

$$|P(\xi)| \ll \overline{P}^{-n},$$

therefore

$$|\xi - \alpha_1| \cdot \dots \cdot |\xi - \alpha_n| \ll \overline{P}^{-n} a_n^{-1}.$$

Even if  $a_n = \overline{P}$ , we can only prove that

$$|\xi - \alpha_1| \cdot \dots \cdot |\xi - \alpha_n| \ll \overline{P}^{-n-1} \ll H(\alpha_1)^{-n-1},$$

↓

$$\boxed{|\xi - \alpha_1| \ll H(\alpha_1)^{-\frac{n+1}{n}} ???}$$

It is also clear, that the worth case for us is when

$$|\xi - \alpha_1| = \dots = |\xi - \alpha_n|.$$

QUESTION: Can one prove that for infinitely many polynomials

$P \in \mathbb{Z}[x]$  with  $|P(\xi)| \ll \overline{P}^{-n}$  the situation

$$|\xi - \alpha_1| = \dots = |\xi - \alpha_n|$$

is impossible?

ANSWER: For infinitely many polynomials  $P \in \mathbb{Z}[x]$  with

$|P(\xi)| \ll \overline{P}^{-n}$  we have:

$$|\xi - \alpha_1| \ll |\xi - \alpha_2| \ll 1,$$

$|\xi - \alpha_3|, \dots, |\xi - \alpha_n|$  are “big”.

Step 1: Construct  $\infty$ -many  $P, Q \in \mathbb{Z}[x]$ ,  $\deg P, Q \leq n$ , such that

$ P(\xi)  \ll  P ^{-n}$ $ Q(\xi)  \ll  Q ^{-n}$ $ P  \ll  Q $	and	$P, Q \text{ have no}$ $\text{common root}$
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Step 2. Consider the resultant of  $P, Q$  :

$$R(P, Q) = a_m^\ell b_\ell^m \prod_{1 \leq i, j \leq n} (\alpha_i - \beta_j).$$

On the one hand,

$$R(P, Q) \neq 0,$$

since  $P, Q$  have no common root. Moreover,

$$R(P, Q) \in \mathbb{Z},$$

since  $P, Q$  have integer coefficients.

Therefore we get

$$|R(P, Q)| \geq 1.$$

Step 3. On the other hand,

$$\begin{aligned}
|R(P, Q)| &= a_m^\ell b_\ell^m \prod_{1 \leq i, j \leq n} |\alpha_i - \beta_j| \\
&\ll \overline{P}^{2n} \prod_{1 \leq i, j \leq n} |\alpha_i - \beta_j| \\
&\ll \overline{P}^{2n} \prod_{1 \leq i, j \leq n} \max(|\xi - \alpha_i|, |\xi - \beta_j|).
\end{aligned}$$

If

$$|\xi - \alpha_1| = \dots = |\xi - \alpha_n| \ll \overline{P}^{-1-\frac{1}{n}},$$

$$|\xi - \beta_1| = \dots = |\xi - \beta_n| \ll \overline{P}^{-1-\frac{1}{n}},$$

then

$$|R(P, Q)| \ll \overline{P}^{2n} \overline{P}^{(-1-\frac{1}{n})n^2} = \overline{P}^{n-n^2} < 1,$$

which contradicts to Step 2.

LEMMA (Wirsing, 1961):

$$|\xi - \gamma| \ll \max \begin{cases} |P(\xi)|^{\frac{1}{2}} |Q(\xi)| |\overline{P}|^{n-\frac{3}{2}}, \\ |P(\xi)| |Q(\xi)|^{\frac{1}{2}} |\overline{P}|^{n-\frac{3}{2}}, \end{cases}$$

where  $\gamma$  is a root of  $P$  or  $Q$  closest to  $\xi$ .

Since

$$|P(\xi)| \ll |\overline{P}|^{-n},$$

$$|Q(\xi)| \ll |\overline{Q}|^{-n},$$

we get

$$|\xi - \gamma| \ll |\overline{P}|^{-\frac{n}{2}-n+n-\frac{3}{2}} = |\overline{P}|^{-\frac{n}{2}-\frac{3}{2}}.$$

## V. “Big Derivative” Method

THEOREM 7 (Bernik-Tsishchanka, 1993). For any real number  $\xi \notin \mathbb{A}_n$  there exist infinitely many algebraic numbers  $\alpha \in \mathbb{A}_n$  with

$$|\xi - \alpha| \ll H(\alpha)^{-\frac{n}{2} - \lambda_n}, \quad \lim_{n \rightarrow \infty} \lambda_n = 3.$$

The following table contains the values of

$$\frac{n}{2} + \lambda_n$$

corresponding to Wirsing’s Theorem (1961), the Theorem of Bernik-Tsishchanka (1993), and the Conjecture:

$n$	1961	1993	Conj.
3	3.28	3.5	4
4	3.82	4.12	5
5	4.35	4.71	6
6	4.87	5.28	7
7	5.39	5.84	8
8	5.9	6.39	9
9	6.41	6.93	10
10	6.92	7.47	11
15	9.44	10.09	16
20	11.95	12.67	21
50	26.98	27.84	51
100	51.99	52.92	101



Fix some  $H > 0$ . By Dirichlet's Box Principle there exists an integer polynomial  $P$  such that

$$\begin{aligned} |a_n| \ll H, \dots, |a_2| \ll H, \quad |a_1| \ll H^{1+\epsilon}, \quad |a_0| \ll H^{1+\epsilon}, \\ |P(\xi)| \ll H^{-n-\epsilon}, \end{aligned} \tag{1}$$

where  $\epsilon > 0$ . We now consider two cases:

*Case A:* Let

$$\max(|a_1|, |a_0|) \gg H,$$

that is

$$\max(|a_1|, |a_0|) = H^{1+\delta} = \overline{|P|}, \quad 0 < \delta \leq \epsilon.$$

It is clear that in this case the derivative of  $P$  is "big", that is

$$|P'(\xi)| \asymp H^{1+\delta}. \tag{2}$$

We have the following well-known inequality

$$|\xi - \alpha| \ll \frac{|P(\xi)|}{|P'(\xi)|}, \tag{3}$$

where  $\alpha$  is the root of the polynomial  $P$  closest to  $\xi$ . Substituting (1) and (2) into (3), we get

$$|\xi - \alpha| \ll \frac{H^{-n-\epsilon}}{H^{1+\delta}} = H^{-(1+\delta)\frac{n+1+\epsilon+\delta}{1+\delta}} = \overline{|P|}^{-\frac{n+1+\epsilon+\delta}{1+\delta}} \ll H(\alpha)^{-\frac{n+1+2\epsilon}{1+\epsilon}}.$$

Case B: Let

$$\max(|a_1|, |a_0|) \ll H,$$

then

$$|\overline{P}| \ll H. \quad (4)$$

Using Dirichlet's Box we construct an integer polynomial  $Q$  such that

$$|b_n| \ll H, \dots, |b_2| \ll H, \quad |b_1| \ll H^{1+\epsilon}, \quad |b_0| \ll H^{1+\epsilon}, \quad (5)$$

$$|Q(\xi)| \ll H^{-n-\epsilon},$$

If  $\max(|b_1|, |b_0|) \gg H$ , then

$$|\xi - \beta| \ll H(\beta)^{-\frac{n+1+2\epsilon}{1+\epsilon}}.$$

If  $\max(|b_1|, |b_0|) \ll H$ , then

$$|\overline{Q}| \ll H. \quad (6)$$

Then we can apply Wirsing's Lemma:

$$|\xi - \gamma| \ll \max \begin{cases} |P(\xi)|^{\frac{1}{2}} |Q(\xi)| |\overline{P}|^{n-\frac{3}{2}}, \\ |P(\xi)| |Q(\xi)|^{\frac{1}{2}} |\overline{P}|^{n-\frac{3}{2}}, \end{cases}$$

Substituting (4), (5), (6), and  $|P(\xi)| \ll H^{-n-\epsilon}$ , we get:

$$|\xi - \gamma| \ll H^{-\frac{n}{2}-\frac{3}{2}-\frac{3}{2}\epsilon} \ll H(\gamma)^{-\frac{n}{2}-\frac{3}{2}-\frac{3}{2}\epsilon}.$$

Let us compare estimates in the Case A and Case B:

$$\text{Case A: } |\xi - \alpha| \ll H(\alpha)^{-\frac{n+1+2\epsilon}{1+\epsilon}}$$

$$\text{Case B: } |\xi - \alpha| \ll H(\alpha)^{-\frac{n}{2}-\frac{3}{2}-\frac{3}{2}\epsilon}$$

If we take  $\epsilon = 0$ , then

$$\text{Case A: } |\xi - \alpha| \ll H(\alpha)^{-n-1}$$

$$\text{Case B: } |\xi - \alpha| \ll H(\alpha)^{-\frac{n}{2}-\frac{3}{2}}$$

On the other hand, if we take  $\epsilon = 2$ , then

$$\text{Case A: } |\xi - \alpha| \ll H(\alpha)^{-\frac{n+5}{3}}$$

$$\text{Case B: } |\xi - \alpha| \ll H(\alpha)^{-\frac{n}{2}-4.5}$$

Finally, if we choose an optimal value of  $\epsilon$ , namely

$$\epsilon = 1 - \frac{6}{n},$$

we obtain

$$|\xi - \alpha| \ll H(\alpha)^{-n/2+\lambda_n}, \quad \lim_{n \rightarrow \infty} \lambda_n = 3,$$

in both cases.

## VI. “Improvement”

Let us consider an integer polynomial  $P$  such that

$$|a_n| \ll H, \dots, |a_2| \ll H^{1+\epsilon}, \quad |a_1| \ll H^{1+\epsilon}, \quad |a_0| \ll H^{1+\epsilon},$$

$$|P(\xi)| \ll H^{-n-2\epsilon}.$$

We have

$$\text{Case A: } |\xi - \alpha| \ll H(\alpha)^{-\frac{n+1+3\epsilon}{1+\epsilon}}$$

$$\text{Case B: } |\xi - \alpha| \ll H(\alpha)^{-\frac{n}{2}-\frac{3}{2}-3\epsilon}.$$

Put

$$\epsilon = 1 - \frac{10}{n},$$

then

$$|\xi - \alpha| \ll H(\alpha)^{-n/2+\lambda_n}, \quad \lim_{n \rightarrow \infty} \lambda_n = 4.5,$$

in both cases.

However, the Case A does not work. In fact,

$$\max(|a_2|, |a_1|, |a_0|) \gg H \not\Rightarrow |P'(\xi)| \text{ is “big”}.$$

## VII. Method of “Polynomial Staircase”

In 1996 a new approach to this problem was introduced:

Step 1. Let  $R^{(k)}$  be a polynomial with  $k$  “big” coefficients. We construct the following  $n$  polynomials

$$Q^{(3)}, \dots, Q^{(n+1)}, P^{(n+1)},$$

which are small at  $\xi$ .

Step 2. We prove that they are linearly independent.

Step 3. Using a linear combination of these polynomials, we construct the polynomial

$$L^{(2)} = d_1 Q^{(3)} + \dots + d_{n-1} Q^{(n+1)} + d_n P^{(n+1)}$$

with two “big” coefficients. The Case A does work for  $L$ . Moreover, it is possible to show that an influence of the numbers  $d_1, \dots, d_n$  is very weak, so

$$|L(\xi)| \ll H^{-n-2\epsilon}.$$

This method allows us to prove the following

**THEOREM 8.** For any real number  $\xi \notin \mathbb{A}_n$  there exist infinitely many algebraic numbers  $\alpha \in \mathbb{A}_n$  with

$$|\xi - \alpha| \ll H(\alpha)^{-\frac{n}{2} - \lambda_n}, \quad \lim_{n \rightarrow \infty} \lambda_n = 4.$$

The following table contains the values of

$$\frac{n}{2} + \lambda_n$$

corresponding to Wirsing's Theorem (1961), the Theorem of Bernik-Tsishchanka (1993), Theorem 8 (2001), and the Conjecture:

$n$	1961	1993	2001	Conj.
3	3.28	3.5	3.73	4
4	3.82	4.12	4.45	5
5	4.35	4.71	5.14	6
6	4.87	5.28	5.76	7
7	5.39	5.84	6.36	8
8	5.9	6.39	6.93	9
9	6.41	6.93	7.50	10
10	6.92	7.47	8.06	11
15	9.44	10.09	10.77	16
20	11.95	12.67	13.40	21
50	26.98	27.84	28.70	51
100	51.99	52.92	53.84	101

## VIII. Complex case

**THEOREM 9** (Wirsing, 1961). For any complex number  $\xi \notin \mathbb{A}_n$  there exist infinitely many algebraic numbers  $\alpha \in \mathbb{A}_n$  with

$$|\xi - \alpha| \ll H(\alpha)^{-A},$$

where

$$A = \frac{n}{4} + 1.$$

Method: “Resultant”

In 2000 this result was slightly improved:

$$A = \frac{n}{4} + \lambda_n, \quad \text{where} \quad \lim_{n \rightarrow \infty} \lambda_n = \frac{3}{2}.$$

Method: “Big Derivative”.

Method “Polynomial Staircase”: ? ? ?

## IX. $P$ -adic case

**THEOREM 10** (Morrison, 1978). Let  $\xi \in \mathbb{Q}_p$ . If  $\xi \notin \mathbb{A}_n$ , then there are infinitely many algebraic numbers  $\alpha \in \mathbb{A}_n$  with

$$|\xi - \alpha| \ll H(\alpha)^{-A},$$

where

$$A = \begin{cases} 1 + \sqrt{3} & \text{when } n = 2, \\ \frac{n}{2} + \frac{3}{2} & \text{when } n > 2. \end{cases}$$

**THEOREM 11** (Teulié, 2002). If  $\xi \notin \mathbb{A}_2$ , then there are infinitely many algebraic numbers  $\alpha \in \mathbb{A}_2$  with

$$|\xi - \alpha| \ll H(\alpha)^{-3}.$$

The second part of Morrison's theorem was also improved:

$$A = \frac{n}{2} + \lambda_n, \quad \text{where } \lim_{n \rightarrow \infty} \lambda_n = 3.$$

Method: “Big Derivative”.

Method “Polynomial Staircase”: ? ? ?



## X. Two Counter-Examples

### 1. Simultaneous case.

CONJECTURE. For any two real numbers  $\xi_1, \xi_2 \notin \mathbb{A}_n$  there exist infinitely many algebraic numbers  $\alpha_1, \alpha_2$  such that

$$\begin{cases} |\xi_1 - \alpha_1| \ll |P|^{-(n+1)/2}, \\ |\xi_2 - \alpha_2| \ll |P|^{-(n+1)/2}, \end{cases}$$

where  $P(x) \in \mathbb{Z}[x]$ ,  $P(\alpha_1) = P(\alpha_2) = 0$ ,  $\deg P \leq n$ . The implicit constant in  $\ll$  should depend on  $\xi_1, \xi_2$ , and  $n$ .

COUNTER-EXAMPLE (Roy-Waldschmidt, 2001). For any sufficiently large  $n$  there exist real numbers  $\xi_1$  and  $\xi_2$  such that

$$\max\{|\xi_1 - \alpha_1|, |\xi_2 - \alpha_2|\} > |P|^{-3\sqrt{n}}.$$

THEOREM 12. For any real numbers  $\xi_1, \xi_2 \notin \mathbb{A}_n$  at least one of the following assertions is true:

(i) There exist infinitely many algebraic numbers  $\alpha_1, \alpha_2$  of degree  $\leq n$  such that

$$\begin{cases} |\xi_1 - \alpha_1| \ll |P|^{-\frac{n}{8}-\frac{3}{8}}, \\ |\xi_2 - \alpha_2| \ll |P|^{-\frac{n}{8}-\frac{3}{8}}. \end{cases}$$

(ii) For some  $\xi \in \{\xi_1, \xi_2\}$  there exist infinitely many algebraic numbers  $\alpha$  of degree  $2 \leq k \leq \frac{n+2}{4}$  such that

$$|\xi - \alpha| \ll H(\alpha)^{-\frac{n+2}{4}-1}.$$

### 2. Approximation by algebraic integers.

**THEOREM 13.** (Davenport - Schmidt, 1968) Let  $n \geq 3$ . Let  $\xi$  be real, but not algebraic of degree  $\leq 2$ . Then there are infinitely many algebraic integers  $\alpha$  of degree  $\leq 3$  which satisfy

$$0 < |\xi - \alpha| \ll H(\alpha)^{-\eta_3},$$

where

$$\eta_3 = \frac{1}{2}(3 + \sqrt{5}) = 2.618\dots$$

**CONJECTURE.** Let  $\xi$  be real, but is not algebraic of degree  $\leq n$ . Suppose  $\epsilon > 0$ . Then there are infinitely many real algebraic integers  $\alpha$  of degree  $\leq n$  with

$$|\xi - \alpha| \ll H(\alpha)^{-n+\epsilon}.$$

**THEOREM 14** (Roy, 2001). There exist real numbers  $\xi$  such that for any algebraic integer  $\alpha$  of degree  $\leq 3$ , we have

$$|\xi - \alpha| \gg H(\alpha)^{-(3/2)\eta_3}.$$

## XI. Most Recent Result

THEOREM 15. For any real number  $\xi \notin \mathbb{A}_3$  there exist infinitely many algebraic numbers  $\alpha \in \mathbb{A}_3$  such that

$$|\xi - \alpha| \ll H(\alpha)^{-A},$$

where  $A = 3.7475\dots$  is the largest root of the equation

$$2x^3 - 11x^2 + 11x + 8 = 0.$$